

1 **Title:**

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3 Local interneurons and projection neurons in the antennal lobe from a spiking point of view.

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18 **Running head:**

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20 Response properties of antennal lobe neurons

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34 **Abstract**

35 Local computation in microcircuits is an essential feature of distributed information processing in
36 vertebrate and invertebrate brains. The insect antennal lobe represents a spatially confined local
37 network that processes high-dimensional and redundant peripheral input to compute an efficient odor
38 code. Social insects can rely on a particularly rich olfactory receptor repertoire and they exhibit complex
39 odor-guided behaviors. This corresponds with a high anatomical complexity of their AL network. In the
40 honeybee, a large number of glomeruli that receive sensory input are interconnected by a dense
41 network of local interneurons (LNs). Uniglomerular projection neurons (PNs) integrate sensory and
42 recurrent local network input into an efficient spatio-temporal odor code. To investigate the specific
43 computational roles of LNs and PNs we measured several features of sub- and suprathreshold single cell
44 responses to *in vivo* odor stimulation. Using a semi-supervised cluster analysis we identified a
45 combination of five characteristic features (that enabled the accurate separation of morphologically
46 identified LNs and PNs) as sufficient to separate LNs and PNs from each other, independent of the
47 applied odor-stimuli. The two clusters differed significantly in all these five features. PNs showed a
48 higher spontaneous subthreshold activation, assumed higher peak response rates and a more regular
49 spiking pattern. LNs reacted considerably faster to the onset of a stimulus and their responses were
50 more reliable across stimulus repetitions. We discuss possible mechanisms that can explain our results,
51 and we interpret cell-type specific characteristics with respect to their functional relevance.

52

53 **Keywords:** honeybee, electrophysiology, cluster analysis, rate modulation, response latency, coefficient
54 of variation, Fano factor

55

56

57 **Introduction**

58 Sensory computation in the nervous systems of both, invertebrates and vertebrates, is organized in local
59 networks containing microcircuits that integrate local feed-forward and recurrent connections and
60 constitute functional subunits of the global sensory network. Understanding the computational
61 principles of these microcircuits is a key to a deeper understanding of sensory processing and perception
62 (Chou et al., 2010; Shepherd, 2010). As a common principle microcircuits are built from synapses
63 between two general types of neurons, local interneurons (LNs) and projection neurons (PNs). Neurites
64 of LNs are spatially confined to a local brain structure while PNs connect between brain structures. Both,
65 network connectivity and the individual morphological and physiological properties of LNs and PNs
66 define the function and reflect the specific processing demands of a particular sensory system.

67 Primary olfactory centers, the vertebrate olfactory bulb and the analogue invertebrate antennal lobe
68 (AL), perform complex local computations (Olsen and Wilson, 2008a; Sachse et al., 2006; Strowbridge,
69 2010) that reflect the high dimensionality of the chemical olfactory space (Guerrieri et al., 2005; Haddad
70 et al., 2008; Schmuker and Schneider, 2007; Wilson and Mainen, 2006) as well as the complex temporal
71 dynamics of natural odor stimuli (Meyer and Galizia, 2012; Nagel and Wilson, 2011; Riffell et al., 2009;
72 Stopfer, Jayaraman, and Laurent, 2003). At the heart of these computations are the glomeruli,
73 prominent examples of sensory microcircuits. In these spherical structures of high synaptic density,
74 peripheral input from olfactory sensory neurons (OSNs) converges onto LNs and PNs. In the present
75 study, we explore differences in *in vivo* response properties between LNs and PNs in the primary
76 olfactory center of the honeybee.

77 In the invertebrate, structural complexity of the AL correlates with the complexity of odor-guided
78 behavior in individual species. Anatomical complexity is particularly pronounced in social insects such as
79 bees and ants (Galizia and Rössler, 2010; Kelber et al., 2010; Martin et al., 2011; Zube and Rössler, 2008).

80 The local interneuron network interconnects different glomeruli and thus plays an essential role in
81 olfactory information processing (Abraham et al., 2004; Chou et al., 2010; Flanagan and Mercer, 1989;
82 Galizia and Kimmerle, 2004; Kazama and Wilson, 2009; Krofczik et al., 2009; Meyer and Galizia, 2012;
83 Olsen and Wilson, 2008b; Sachse and Galizia, 2002). The number of LNs largely determines the degree of
84 network connectivity and hence its computational capacity. In the honeybee approximately 4.000 LNs
85 outnumber PNs almost fivefold, providing for an exceptionally dense interneuron network (Galizia, 2008;
86 Rybak 2012). Despite the obvious importance of the interneuron network we know surprisingly little
87 about its detailed involvement in sensory computation (Galizia and Rössler, 2010, 2008; Nawrot, 2012;
88 Rössler and Brill, 2013).

89 For our analyses we combined independently obtained data sets from *in vivo* intracellular recordings of
90 olfactory neurons in the honeybee AL. A subset of cells could be identified unambiguously as either LN or
91 PN. We defined a number of electrophysiological response features and used a semi-supervised
92 clustering method to identify the combination of features that allowed for the most successful
93 classification of the morphologically identified neurons as either LN or PN. Characteristic differences
94 between all neurons in the PN cluster and those in the LN cluster indicate their differential role in
95 computing the spatio-temporal odor code that is conveyed to central brain structures.

96

97

98

99 **Materials & Methods**

100

101 *Data sets*

102

103 Analysis of odor evoked activity patterns was performed on intracellular recordings from 80 AL neurons.

104 The data pool comprised three independently obtained datasets, which were previously published in

105 peer reviewed journals (Meyer and Galizia, 2011; Krofczik et al., 2008; Galizia and Kimmerle, 2004) as

106 well as one set of data (n = 10), which was part of a published dissertation (Meyer, 2011). The same

107 recording technique was used in all cases, but stimulus protocols differed in details. In order to eliminate

108 effects that may be caused by differences in stimulus timing we cut all trials, irrespective of genuine

109 stimulus duration (800-2000ms) to a length of 500ms pre and 800ms post stimulus onset. The sampled

110 odorant space largely overlapped between studies (Fig 1). Binary mixtures and tertiary mixtures were

111 only tested in single studies but were composed from components within the overlapping odorant

112 space. Some odorants as well as complex, natural mixtures were tested in only few neurons. Stimulus

113 concentration was in a biological relevant range between 10^{-1} and 10^{-2} . In all case a continuous flow-

114 olfactometer was used for stimulation to reduce mechanical artifacts. Pure air and mineral oil served as

115 control stimuli.

116 For details of data acquisition and tested odor sets refer to the original works by Meyer and Galizia

117 (2011), Meyer (2011), Krofczik et al. (2008) and Galizia and Kimmerle (2004). Based on morphological

118 data from post-hoc staining a subset of cells could be identified as PNs (n = 23) or LNs (n = 9).

119

120 *Data preprocessing*

121

122 Potent stimuli, i. e. stimuli that evoked responses, were identified for each individual cell by visual
123 inspection. Points in time at which action potentials occurred were detected by thresholding the
124 membrane potential using Spike2 (Cambridge Electronic Design, UK) or custom written routines in R
125 (<http://www.R-project.org>) based on the open source packages SpikeOMatic (Pouzat et al., 2004) and
126 STAR (Pippow et al., 2009). To describe sub-threshold characteristics we removed all action potentials
127 from the raw signal using a custom written routine in MatLab (7. 10. 0, TheMathworks Inc., MA).

128

129 *Determination of optimal feature set*

130

131 Neural responses were analyzed in the response window $W_{resp} = [0ms, 800ms]$ following stimulus onset (t
132 = 0ms) and spontaneous activity was analyzed in the baseline window $W_{base} = [-500ms, 0ms]$ immediately
133 preceding stimulus onset (Fig 2A). We defined a total of nine electrophysiological features that describe
134 different properties of neural response activity. These features were computed such that any effect of
135 stimulus identity is minimized. The computation of each feature is detailed below. Our goal was to find
136 an optimal subset of features that allows separating the two morphological classes of LNs and PNs. This
137 combination of descriptors was found by testing cell type classification for all possible feature
138 combinations in a repeated semi-supervised clustering procedure. The core routine of the semi-
139 supervised method was identical with the one detailed below for the final clustering result. In brief, the
140 selected combination of descriptors was submitted to PCA. The number of PCs was chosen such that
141 adding another PC did not substantially increase explained variance (elbow-criterion). Clustering was
142 performed on the determined number of PCs and the number of clusters was fixed to two. We
143 calculated the separation quality of identified neurons in the two clusters using Matthew's Correlation
144 Coefficient (Matthews, 1975). By this procedure we identified a subset of five relevant features that
145 yielded the best separation of PNs and LNs. For analysis and visualization of the data we used Matlab.

146

147 *Definition of response features*

148

149 ΔR : Deflection from the baseline firing rate immediately following stimulus application is the most
150 common definition of evoked spiking activity. Rate increase (decrease) is a measure for excitation
151 (inhibition). The time-resolved firing rate profile was estimated based on trial-aligned and trial-averaged
152 spike-trains following the method described in Meier et al. (2008). In brief: First, the derivative of each
153 single trial spike-train of a given cell under stimulation with a particular odor was estimated by
154 convolving the spike train with an asymmetric Savitzky-Golay filter (Savitzky and Golay, 1964) (polynomial
155 order 2, width 300ms, Welch-windowed). Second, all single trial derivatives were optimally aligned by
156 maximizing their average pair-wise cross correlation (Nawrot et al., 2003) (Fig 2C). Third, the newly
157 aligned spike-trains were merged. Fourth, the alignment procedure was repeated for the merged spike-
158 trains that resulted from different odors. To estimate the average rate function of the input data the
159 merged spike train was normalized by the number of contributing trials and convolved with an
160 asymmetric alpha kernel $k(t) = t * \exp(-t/\tau)$ (Parzen, 1962) (Fig 2D) ΔR was then defined as the
161 difference between the highest value of peak firing rate and the minimum rate value encountered in any
162 of the trials, irrespective of the odor. Thus ΔR estimates the maximal modulation depth of firing rate
163 across time and odors. Optimal kernel width τ was estimated on the basis of the empirical data by
164 application of a heuristic method detailed in Nawrot et al. (1999).

165 R_{base} : Spontaneous activity during the pre-stimulus interval W_{base} quantifies a neurons baseline firing in
166 the absence of a driving stimulus. The average spontaneous rate profile was estimated for each odor as
167 detailed above and subsequently aligned and averaged between odors. Baseline activity was then
168 defined as the mean firing rate within 500ms pre-stimulus.

169 L : describes the positive time interval between stimulus onset and onset of neural response. Trial-
170 averaged absolute latency and relative trial-to-trial latencies were estimated with one of three methods

171 based on the cell's firing pattern. 1) Latencies with excitatory responses were estimated based on the
172 derivative of the trial-aligned firing rate (Meier et al., 2008; Krofczik et al., 2008). The trial alignment
173 procedure was conducted as described above. By convolution of the summed across-odor spike-train
174 with the same asymmetric Savitsky-Golay filter that was used for the alignment procedure, an estimate
175 about the derivative of the cell's average firing rate was obtained. The cell specific absolute latency was
176 defined as the time point of the first maximum encountered in the derivative (Fig 2C). 2) Latencies of
177 inhibitory responses were estimated identically but using an inverted Savitsky-Golay filter to detect the
178 maximum of the negative slope. 3) Latencies of cells that had very low spontaneous activity and which
179 responded to stimulation with a membrane depolarization accompanied by one single or very few spikes
180 were estimated based on the pooled original spike-trains and not aligned. Spikes denoting a response
181 were generally well timed. An additional alignment usually introduced faulty shifts as a consequence of
182 the generally low spiking activity. The response latency was thus defined as the peak-time of the rate,
183 which in these conditions essentially resembled the first spike latency. Rate was estimated as detailed
184 above.

185 To normalize absolute latencies for differences in odor delivery times in the different data sets which
186 arise from differences in the experimental setup we proceeded as follows: At any one time we subtracted
187 the shortest latency within each individual data set from all other latency estimates within the same data
188 set. To avoid zero latency, we added the arbitrary duration of 6ms to the response latency of each cell.

189
190 ΣL : The alignment procedure detailed above returned relative time shifts for each individual trial,
191 indicating the variable latencies (Nawrot et al., 2003). The standard deviation Σ of trial-to-trial shifts
192 provides a measure for the across trial latency variability.

193
194 $CV2$: The coefficient of variation (CV) of the inter-spike intervals indicates a neuron's spike-time
195 irregularity (Nawrot, 2010) (Fig 2C). The $CV2$ was introduced to quantify interval dispersion when firing

196 rate is not constant but modulated (Holt et al., 1996; Ponce-Alvarez et al., 2010). It is defined locally as
197 the variance of two consecutive ISIs divided by their mean. We first calculated the averaged CV2 for each
198 single trial and then averaged over all trials, irrespective of stimulus type.

199
200 *FF*: is an established measure for spike count variability (Nawrot et al., 2008) and defined by the ratio of
201 the across-trial variance and the trial-averaged spike count within W_{resp} . We computed the FF for each
202 stimulus separately and subsequently averaged across odors.

203
204 P_{base} : Spontaneous signal power of the membrane potential (Fig 2B) during the pre-stimulus interval
205 W_{base} quantifies the membrane potential fluctuations in the absence of a driving stimulus. It is computed
206 within each trial as $P = 1/T \int_T^0 |s(t)|^2 dt$ after removal of action potentials and subsequently averaged
207 across trials.

208
209 P_{evok} : Stimulus related changes in Signal power were computed after removal of action potentials as
210 detailed above within each trial. The signal was baseline corrected by subtracting P_{base} .

211
212 A: Area values describing de- and hyper-polarization were calculated for each individual trial of a given
213 cell. From these values, the positive extremum and negative extremum were chosen to characterize the
214 cell. For this purpose, the signal was smoothed using a Gaussian kernel (25ms standard deviation). The
215 area under/ above a threshold of average baseline voltage +/- two standard deviations were taken into
216 account.

217
218 *Cluster analysis.*

219

220 Collecting descriptive values to characterize evoked activity results in a multi-dimensional data space.
221 Several descriptors derive in part from the same origin and may hence be correlated and carry partly
222 redundant information. Principal Component Analysis (PCA) allows to reduce a set of possibly correlated
223 variables into a smaller set of uncorrelated variables called Principal Components (PC) (Pearson, 1901)
224 that still retain the major information content. Using PCA in the present dataset allowed reducing five
225 descriptors to the first three PCs. These were sufficient to explain 75% of the underlying variance. Since
226 the original variables differ in the scale on which observations was made, data was normalized using z-
227 scores before it was subjected to the PCA algorithm. To explore possible grouping of neurons according
228 to the PCs of their evoked activity characteristics, unsupervised clustering using Ward linkage with
229 Euclidean distances was performed. The incremental method aims to reduce the variance within a
230 cluster by merging data points into groups in a way that their combination gives the least possible
231 increase in the within-group sum of squares (Ward, 1963). The distance d between two groups (r,s) is
232 defined as:

$$d(r,s) = \sqrt{\frac{2n_r n_s}{n_r + n_s}} \|\bar{x}_r - \bar{x}_s\|_2$$

233 where $\|\cdot\|_2$ denotes the Euclidean distance, \bar{x}_r and \bar{x}_s are the centroids of clusters r and s , and n refers
234 to the number of elements in each cluster. The algorithm was provided by the Matlab Statistics Toolbox.
235 In order to test whether clustering performed on PC input yields information, which allows describing
236 neuron differences in terms of direct measurable characteristics, we performed a Wilcoxon rank sum
237 test on the features between the two clusters.

238

239 **Results**

240 **Classification of PNs and LNs can be achieved based on an optimal set of electrophysiological response**
241 **features.**

242 We initially defined nine distinct measures of electrophysiology to describe the response properties of
243 each of the 80 AL neurons in our data set (see Materials & Methods; Table 1). To classify LNs and PNs (Fig
244 3A) we applied a semi-supervised clustering method based on all possible combinations of electro-
245 physiological features. We evaluated the classification performance based on the separation of
246 morphologically identified LNs and PNs as a measure for model quality (see Materials & Methods). By
247 systematic variation of the feature set and of the dimension of the principal component (PC) space we
248 found that several subsets of our measures were sufficient to separate identified LNs and PNs
249 significantly above chance level. We aimed at finding that constellation, in which the best classification
250 could be achieved based on a minimal set of input features. The most efficient solution allowed for a
251 correct classification of 29 out of 32 identified neurons, corresponding to a Matthew's correlation
252 coefficient of 0.78. This optimal solution is based on the first three PCs (75% explained variance, Fig 3F)
253 from a combination of five response features (Fig. 2): change in firing rate from baseline (ΔR), response
254 latency (L), CV2 as a local measure of inter-spike interval variability, trial-by-trial response variability as
255 measured by the Fano factor (FF), and the signal power of the spontaneous subthreshold membrane
256 potential (P_{base}). In an attempt to visualize functional stereotypy we arranged one randomly selected
257 spike train from each neuron (Fig 3B) according to their relationship in the cluster tree (Fig 3C). Judging
258 from this account it appears that neurons in the PN cluster have a tendency to display a phasic-tonic
259 response characterized by high rate changes. LN cluster neurons, in comparison, tend to display phasic
260 responses but with much smaller rate changes. Despite this trend, which may be observed in dense
261 spike-histograms, it becomes evident that classification of single spike-trains as observed during an
262 experiment is hard to accomplish. To visualize separation of the PN and LN dominated clusters more
263 clearly, we plotted all cells in the three dimensional PC space (Fig 3D). The two clusters largely separate
264 from each other but do show an area of overlap, in which misclassification is more likely to appear. To
265 further quantify cluster quality we compared the distribution of distances of individual elements to the

266 cluster centers within and between the clusters (Fig 3E). Distances within each of the clusters are clearly
267 shorter than between the clusters.

268

269 **LNs and PNs differ significantly in their odor response features.**

270 We could show that based on the PCs of five electrophysiological measures, neurons clustered in two
271 groups, one of which is clearly dominated by PNs, the other by LNs (Fig. 3). Hence, all non-identified
272 neurons in those clusters may be considered as putative PNs and LNs, respectively. Next we asked if this
273 clustering is reflected in significant differences in the input feature space, i. e. the actual odor response
274 measures. Indeed, we found that the PN and the LN dominated clusters differed significantly in each of
275 these measures (Wilcoxon rank sum test, Table 2; Fig 4A). Neurons in the PN cluster typically showed
276 higher dynamic changes in firing rate when responding to a stimulus. This is in good accordance with the
277 observed tendency for phasic-tonic response patterns (Fig 3B). The responses of LNs typically follow
278 stimulus onset with shorter response latencies than PNs. The difference in median latencies between
279 LNs and PNs is considerable with 65ms. Interestingly, latencies in both clusters show a broad distribution
280 across neurons. Particularly, response onsets in the subset of identified LNs varies between quartiles by
281 about 200ms (1st quartile = 36ms, 3rd quartile = 235ms). Response onsets in the subset of identified PNs is
282 significantly less variable with an inter-quartile distance of about 100ms (1st quartile = 74ms, 3rd quartile
283 170ms, one-tailed Ansari-Bradley Test, $p = 0.046$). The higher CV2 for neurons allocated to the LN cluster
284 illustrates that these cells are characterized by more irregular or burst-like spike responses, while cells of
285 the PN cluster show more regular response trains. A higher Fano factor indicates responses from PN
286 cluster neurons to be more variable across trials.

287

288 Differences in all five features between neurons in the LN and PN cluster transfer to the subset of
289 morphologically identified neurons (Fig. 4B, Table 2). This reassures that electrophysiological
290 characteristics are truly stereotyped properties of LNs and PNs, respectively. Change in response related

291 firing rate (ΔR) and CV2 in particular are significantly different ($p < 0.05$) even for the small sample size
292 of identified LNs ($N = 9$) and PNs ($N = 23$). For response latency (L), Fano factor (FF), and spontaneous
293 signal power (P_{base}), differences in median for morphologically identified LNs and PNs are in accordance
294 with the respective differences measured on the basis of the complete set of neurons (Table 2).

295

296 **Discussion**

297 Based on intracellular recordings from a mixed neuron population in the honeybee AL we explored
298 characteristic differences between LNs and PNs. Electrophysiological measures are established means by
299 which neurons are typified if morphological information is unavailable (Connors and Gutnick, 1990;
300 Ascoli et al., 2008; Markram et al., 2004). Clustering analyses have been used repeatedly in vertebrates
301 to typify neurons on the basis of morphological and electrophysiological features, and in order to
302 characterize their specific functional properties within microcircuits (McCormick et al., 1985; Ruigrok et
303 al., 2011; Suzuki and Bekkers, 2006,2011; Wiegand et al., 2011). In our approach we clustered cells solely
304 based on physiological response measures to separate two morphologically well described classes of LNs
305 and PNs in the honeybee AL. Using the morphological class identity available for a subset of all cells
306 allowed us to assess classification accuracy and to optimize the clustering approach with respect to the
307 number of PCs, and the particular combination of features. We found a combination of five out of nine
308 odor response features to be indicative of the morphological cell type. How can we interpret these
309 characteristic physiological differences in a functional context?

310

311 **PN properties are well suited to convey a combinatorial rate code.**

312 A considerable level of spontaneous activity and a strong and odor-specific modulation of the firing rate
313 have been described as characteristic for honeybee PNs, but less typical for LNs in independent
314 comparative studies (Abel et al., 2001; Müller et al., 2002; Sun et al., 1993). Pronounced baseline activity

315 may arise from cell-intrinsic excitability or auto-rhythmic activity in the absence of input, or from
316 ongoing network input (Llinas, 1988). Baseline activity in AL neurons was recently shown to depend on
317 continuing OSN input even in the absence of overt stimuli and not on auto rhythm (Joseph et al., 2012).
318 PNs form numerous synapses with both LNs and a large number of converging OSNs (Distler and Boeckh,
319 1997; Galizia, 2008). During odor stimulation PNs are the object of strong afferent OSN input and
320 recurrent local network input. According to our analysis PNs expressed prominent rate modulations (Fig.
321 4), with typical peak rates in the order of 50-100Hz. The PN population is thus well suited to project a
322 spatio-temporal rate code to the higher brain centers. Evidence for the existence and behavioral
323 relevance of a combinatorial odor rate code in the PN ensemble has been provided by a number of
324 recent extracellular single unit recordings (e. g. Brill et al., 2012; Strube-Bloss et al., 2012).

325

326 **Irregular spiking and short latencies reflect the modulatory function of LNs.**

327 The local interneuron network provides the substrate for mediating a non-linear transformation
328 between AL input and output in flies and bees (Bhandawat et al., 2007; Ng et al., 2002; Olsen and
329 Wilson, 2008; Sachse et al., 2006; Meyer and Galizia, 2011; Schmucker, 2012). A prerequisite is the widely
330 ramified LN morphology that interconnects many different glomeruli, integrating information from
331 different genetic receptor types. The high CV² of LNs (Fig.4, Table 1) likely is a physiological reflection of
332 this intertwined connectivity. Spike time irregularity arises from two events: when inhibitory input
333 counteracts excitatory input (Vreeswijk and Sompolinsky, 1996; Shadlen and Newsome, 1998; Stevens
334 and Zador, 1998; Nawrot et al., 2008), or when the excitatory inputs arrive in an irregular fashion, e.g.
335 through integration of inputs with different spike train statistics (Renart et al., 2010; Farkhooi et al.,
336 2011), and output irregularity is particularly high when both conditions apply (Bures, 2012). Irregular LN
337 output is likely a consequence of heterogeneous input from both, excitatory (OSNs and PNs) and
338 inhibitory (LNs) sources (Malun, 1991; Galizia and Rybak, 2010). In addition, the superposition of inputs
339 from several co-activated glomeruli likely makes excitatory input irregular.

340 A striking result of our analysis is the faster response time of LNs with a median response latency of only
341 ~60ms compared to ~120ms for PNs (Table 2). Fast LN responses coincide with the previous observation
342 of an equally fast reduction of the membrane potential in single PNs (Krofczik et al., 2008) and indicate
343 that LNs can efficiently modulate PN output through fast lateral inhibition. The distribution of individual
344 latencies is rather broad in both neuron populations (Fig.4). Single PNs can respond much faster than the
345 population average. This observation is interesting in light of the recent findings by Strube-Bloss et al.
346 (2012) that AL neurons responded, on average, later to odor stimulation than mushroom body (MB)
347 output neurons, which are situated two synapses downstream of PNs. Meyer and Galizia (2011) tested
348 responses of AL neurons to a mixture with two components. They found elemental neurons that showed
349 fast responses dominated by and temporally locked to the dominant mixture component. In contrast,
350 configural neurons that represented the novel mixture quality showed longer response latencies.
351 Together this may indicate that a fast population of uniglomerular PNs carries an initial rapid odor code.
352 Recurrent projections from the MB to the AL (Hu et al., 2010) could modulate a secondary delayed odor
353 code (Strube-Bloss et al., 2012). In line with this idea, recent results indicate that different families of PNs
354 may exhibit different response latencies (Brill et al., 2013; Rössler and Brill, 2013). It has been suggested
355 that the early phasic stimulus response component establishes a latency code of odor identity in the
356 insect (Krofczik et al., 2008; Kuebler et al., 2011; Brill et al., 2012), which might be required for rapid
357 behavioral action. A late and persistent odor code might support the refined percept of the stimulus
358 environment, e.g. mixture composition and concentration of individual elements (Fernandez et al., 2009;
359 Strube-Bloss et al., 2012), and it might underlie the formation of associations.

360

361 **Properties of AL neurons differ between species.**

362 Throughout species the AL is organized in a glomerular fashion and built from the same elements: OSNs,
363 PNs and LNs. However, numbers and wiring of these constituents differs vastly between species. As a
364 consequence PNs and LNs may well exhibit different physiological properties in different species. The AL

365 of the Tobacco Hornworm *Manduca sexta* has regular spiking LNs and shows irregular, burst like activity
366 in PNs (Lei et al., 2011), opposite to our findings. In *Drosophila*, populations of both regular and irregular
367 spiking LNs have been described (Chou et al., 2011; Seki et al., 2010). In the cockroach neurons were
368 identified, which produce sodium spikes (Husch et al., 2009). In the locust, only non-spiking interneurons
369 were found so far (Laurent, 1993). An explanation for these physiological variations might be found in
370 the species specific architecture. About 160 glomeruli in the honeybee AL are connected with ~4000 LNs
371 (Withöft, 1967) but give output via only ~800-900 PNs (Rybak, 2012). Honeybee LNs innervate subareas
372 of glomeruli in which OSN input is concentrated as well as subareas in which PN neurites dominate
373 (Fonta et al., 1993), and LNs are likely to form inter- as well as intra glomerular connections (Meyer and
374 Galizia, 2011). In other prominent insect models for olfaction LNs are less numerous than PNs and the
375 overall degree of connectivity is much smaller (*Drosophila*: < 50 glomeruli (Stocker, 1994), 150-200 PNs
376 (Stocker, 1997), 100 LNs (Ng et al., 2007); locust: 830 PNs (Leitch and Laurent, 1996), 300 LNs (Anton and
377 Homberg, 1999); moth: ~60 glomeruli (Sanes and Hildebrand, 1976b), 740 PNs, 360 LNs (Homberg,
378 1988). Naturally, these differences in architecture are not only reflected in physiological properties of
379 single neurons but impact the entire network function at the level of odor and odor mixture encoding,
380 which seems necessary for the species-specific adaption to environmental constraints (Martin et al.,
381 2011).

382

383 **The diversity of AL neurons within species**

384 LNs and PNs establish two anatomically and morphologically well-defined classes of AL neurons.
385 However, both display considerable within-class diversity. In some species PNs subdivide in
386 morphological subgroups (Galizia and Rössler, 2010). In most hymenoptera, including the honeybee, PNs
387 subdivide into three morphological families (Rössler and Zube, 2011). LNs can show various different
388 morphologies within a species (Chou et al., 2010; Christensen et al., 1993; Dacks et al., 2010; Flanagan
389 and Mercer, 1989; Fonta et al., 1993; Seki and Kanzaki, 2008; Seki et al., 2010; Stocker et al., 1990). In

390 the honeybee so-called homogeneous and heterogeneous LNs represent two major subgroups.
391 However, even morphologically similar LNs may be further differentiated according to, for instance, their
392 histochemistry (Dacks et al., 2010; Kreissl et al., 2010; Nässel and Homberg, 2006; Schäfer and Bicker,
393 1986; Chou et al., 2010; Ng et al., 2007). The existence of different families is supported by the diversity
394 of LN physiology (Chou et al., 2011; Seki et al., 2011; Husch et al., 2009; Sachse et al., 2003; Meyer and
395 Galizia, 2011) that finds expression in the variances of individual response properties within the LN group
396 of our data set (Fig. 4) and explains why we could not achieve 100% accuracy of classification (Fig. 3). In
397 future work it will be desirable to extend the present approach to extract communal features of known
398 subgroups such as homo and hetero LNs, or PN families. Application to a large dataset of extracellular
399 recordings from two types of uniglomerular PNs (Brill et al., 2013) show that this approach is
400 transferable to extracellular spike train data (Meyer et al., 2012). While our current analysis still provides
401 a limited picture of honeybee LN- and PN-physiology, it provides for the first time systematic differences
402 of their response physiology. Such detailed knowledge is essential to foster realistic models of neural
403 computation that can explain the complex spatial and temporal processing of peripheral olfactory
404 information in the primary olfactory center.

405

406 **Acknowledgments**

407 We are grateful to Randolph Menzel, Sabine Krofczik and Bernd Kimmerle for providing us with their data
408 sets for re-analysis in the present manuscript. We thank Jürgen Rybak for his assistance with the
409 morphological data, and Michael Schmuker and Jan Sölter for methodological consultancy.

410

411 **Grants**

412 Generous funding was received from the German Federal Ministry of Education and Research (BMBF)
413 within the project Bernstein Focus Neural Basis of Learning – Insect Inspired Robots (Grant No.
414 01GQ0941).

416

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609

610 **Captions of Tables and Figures**

611

612 Table 1: Individual feature values for all neurons. Where available morphological subgroups and
613 innervated glomeruli are indicated. For hetero LNs and one ml- PN only the most strongly innervated
614 glomerulus is indicated. For the one identified homo LN the area corresponding to the innervation by the
615 sensory input (T1-T4) is given. Missing information about morphologically identified neurons is indicated
616 by question marks and arises from low staining quality or ambiguous documentation. The right-most
617 column indicates the original publication in which the electrophysiological data was published: Meyer et
618 al. (2012); Galizia and Kimmerle (2004); Krofczik et al. (2008).

619

620 **Table 2:** Median values of physiological response features. Columns 1 and 2 show medians of cluster
621 populations, columns 4 and 5 show medians for identified cell populations. *P* indicates p-values
622 (Wilcoxon rank sum test) for difference in median of the corresponding PN and LN populations.

623

624 **Figure 1:** Overlap in stimulus space between studies. Odors used by the different studies are organized
625 according to their chemical group and molecular weight. Each circle corresponds to one odor. Circle size
626 corresponds to the number of tested cells (1-60), hue to the percentage of cells that showed a response
627 to that odor. Red color indicates odors, which were used by more than one study grey those, which were
628 tested only in a single setup. Tested odors and their molecular weight (MW): alcohol: 6ol (MW 102), 7ol

629 (MW 116), 8ol (MW 130), 9ol (MW 144), Geraniol (MW 154); terpene: Citral (MW 152), Menthol (MW
630 154), Cineol (MW 156), Linalool (MW 224); aldehyde: 5al (MW 86), 6al (MW 100), 7al (MW 114);
631 ketone: 6on (MW 100), 7on (MW 114); ester: ISO (MW 130); alkane: 5an (MW 72); aromatic:
632 MethylBenzeat (MW 136), Eugenol (MW 164); binary mix: 8ol/7on (MW 122); tertiary mix: 9ol/6ol/7on
633 (MW 125), cineol/5al/9al (MW 127), 6one/citral/eugenol (MW 123), 8ol/pepermint/7al (MW 133)
634 complex mix: Limonene (MW 136), Henkel (MW 297), Rose Oil (MW 323), Orange Oil (MW 452).

635
636 **Figure 2:** Estimation of physiological odor response features. (A) Single trace of the intracellular
637 membrane voltage recorded from one identified LN. W_{base} indicates the 500ms pre stimulus onset
638 interval, which was used to calculate baseline activity. W_{resp} indicates the 800ms interval considered for
639 response analysis. (B) Squared membrane potential from the trace in A after spikes had been removed
640 (subthreshold activity). The sum of this signal over W_{base} results in the baseline power P_{base} . (C) To
641 estimate the mean cell latency (blue line), spike trains were first aligned within repeated odor
642 stimulations (red/green) and subsequently across stimuli. Single trial latencies are indicated by vertical
643 gray bars. The CV2 was calculated from consecutive pairs of inter spike intervals (horizontal gray bars).
644 (D) Time-resolved firing rate profiles for two different odor stimuli (red, green). For each stimulus this is
645 estimated by first pooling all spikes from the aligned single trials and subsequent kernel estimation with
646 an alpha-shaped kernel. For details of physiological response feature estimation see Methods.

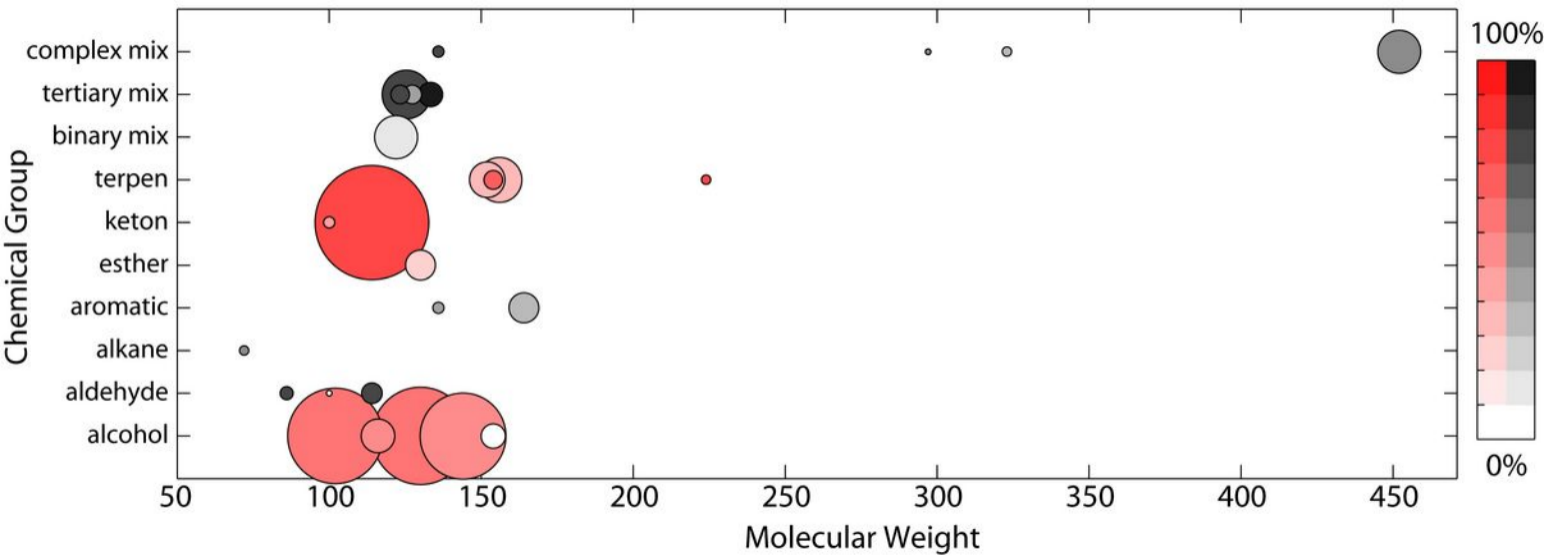
647
648 **Fig 3:** Classification of AL neurons based on physiological response features. (A) Morphological
649 reconstructions of one PN (dark red) and one LN (dark blue) contained in the analyzed dataset. AL =
650 Antennal Lobe, MB = Mushroom Body, LH = Lateral Horn (B) Exemplary spike trains (left) randomly
651 selected to illustrate each single neuron's activity. (C) Based on ΔR , L, CV2, FF, and P_{base} identified PNs
652 (dark red) and identified LNs (dark blue) group into a PN dominated cluster (light red) and a PN
653 dominated cluster (light blue). (D) Scatter plot of PN and LN cluster in three dimensional PC space. Data

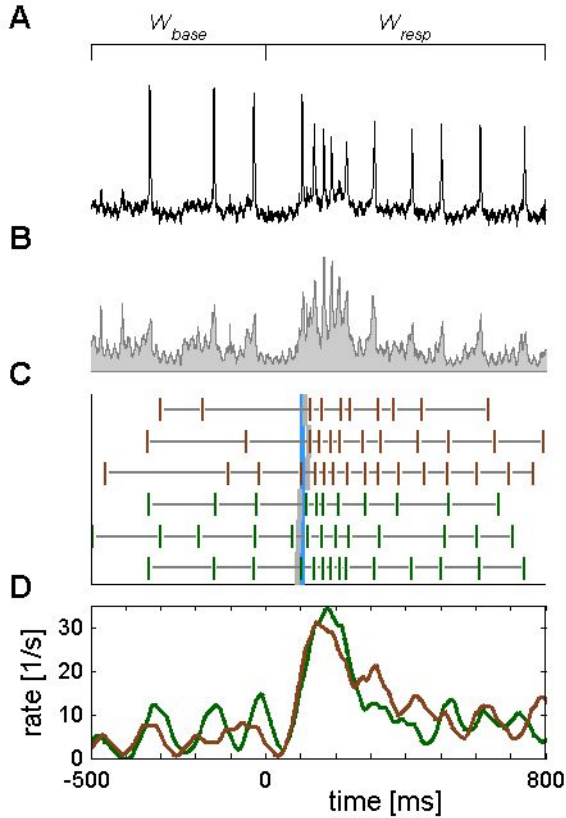
654 points corresponding to morphologically identified PNs/LNs are marked in dark red (PNs) and dark blue
655 (LNs), respectively. (E) Distribution of distance from individual data points to cluster centers within and
656 between clusters. (F) Bar plot illustrating the contribution of the underlying descriptors to each PC. The
657 overlaying black line is the scree plot based on which the number of PCs for clustering were chosen.

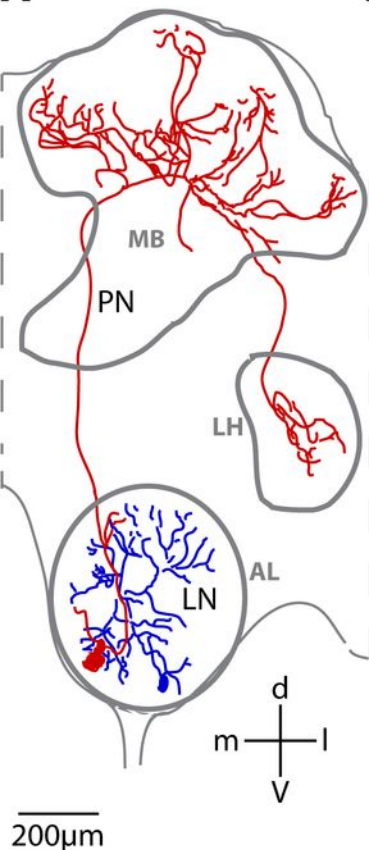
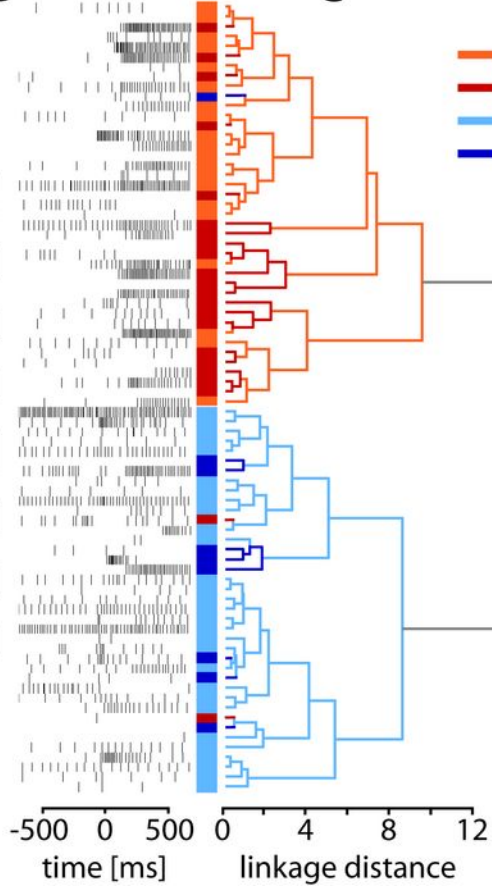
658

659 **Figure 4:** LN and PN differences in physiological response features. (A) Box-plots illustrate the
660 distribution of feature values for cells in the PN (light red) and in the LN dominated cluster (light blue) for
661 the set of 5 optimal features as indicated. The two cell populations differ significantly in all 5 features
662 (Wilcoxon rank sum test; *p = 0. 05, ** p = 0. 01, *** p = 0. 001). (B) Box plot of feature values for the
663 subpopulations of morphologically identified PNs (N=23) and LNs (N=9). The two cell populations
664 differed significantly in the case of ΔR and CV2 (Wilcoxon rank sum test; *p = 0. 05, ** p = 0. 01). For the
665 remaining features the differences and medians are consistent with those of the cluster populations in
666 (A). Light red and light blue horizontal bars indicate medians of the populations of clustered neurons in
667 (A). Note that y-axes for ΔR , CV2, and FF are scaled logarithmically.

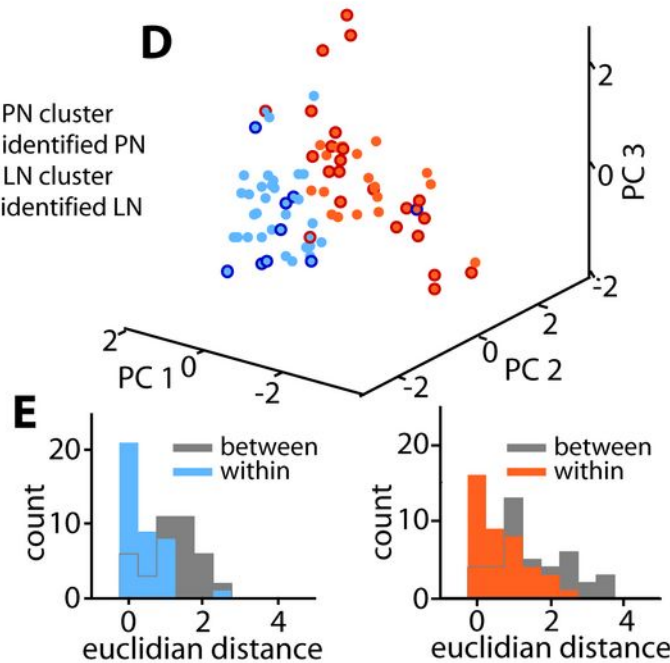
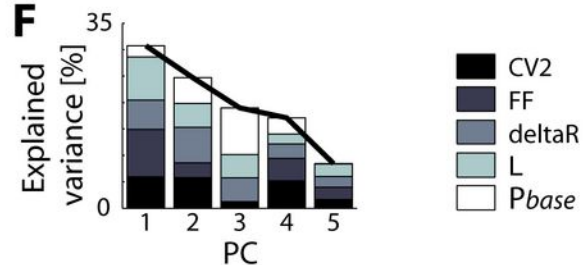
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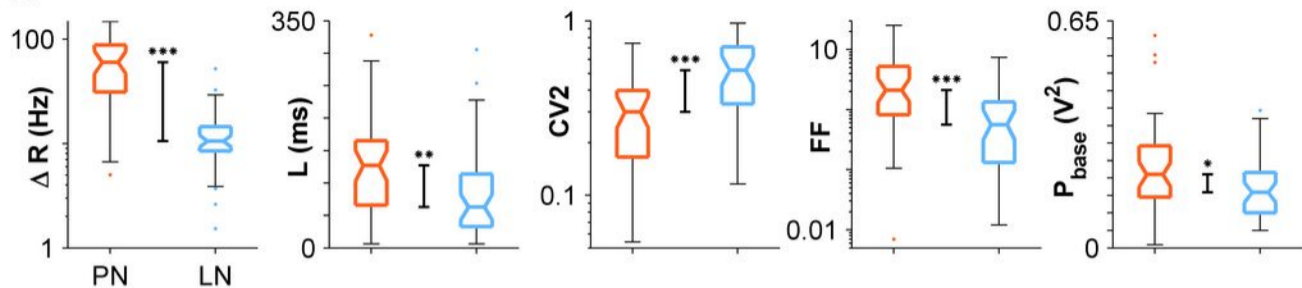
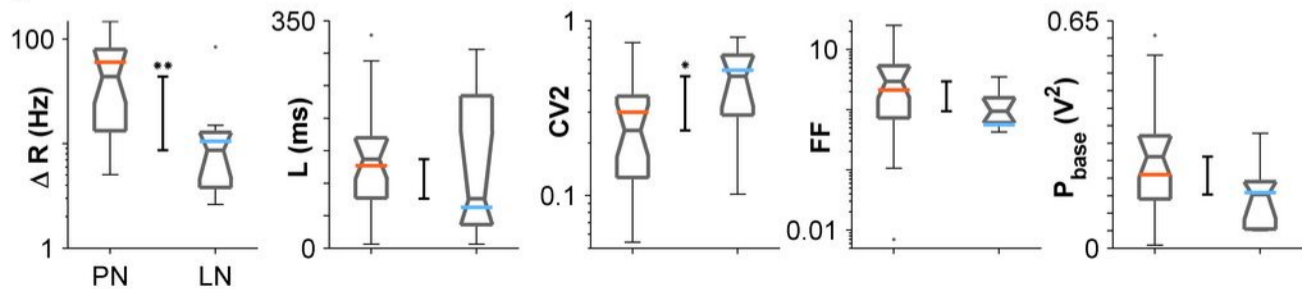




A**B****C**

- PN cluster
- identified PN
- LN cluster
- identified LN

D**E****F**

A**B**

Cell ID	FF	CV2	Rbase	Revok	L	ΣL	Pbase	Pevok	A	Morphology	SubGroup	Glomerulus	Paper
			[Hz]	[Hz]	[msec]	[msec]							
01072009a	0.159	0.368	4.475	12.921	158	41	0.078	1.504	0.040	-	-	-	Meyer
01072009b	0.071	0.333	3.516	9.389	143	33	0.249	1.043	0.016	-	-	-	Meyer
01092009a	0.737	0.733	0.662	11.729	117	9	0.095	1.710	0.050	-	-	-	Meyer
01092009b	3.483	0.679	1.676	29.198	72	22	0.217	1.629	0.216	-	-	-	Meyer
02092009a	0.200	0.318	0.589	10.397	118	28	0.170	1.524	0.169	-	-	-	Meyer
02092009b	0.469	0.750	4.783	14.178	142	30	0.370	0.493	0.340	PN	ml-APT	T1-43	Meyer
3032009	0.022	0.354	4.710	11.054	92	25	0.235	1.060	0.000	-	-	-	Meyer
4062008	1.884	0.402	5.377	51.569	77	13	0.287	0.987	0.000	-	-	-	-
7062009	0.033	0.644	1.764	13.547	171	17	0.201	1.423	0.304	-	-	-	Meyer
8072009	1.409	0.698	0.371	10.878	88	23	0.179	1.927	0.179	-	-	-	Meyer
8102009	0.557	0.689	0.518	8.549	169	29	0.155	1.549	0.113	-	-	-	Meyer
9092009	0.677	0.458	1.840	47.809	80	9	0.189	1.629	0.130	-	-	-	Meyer
10062009	1.335	0.430	2.147	32.229	150	19	0.276	1.719	0.159	-	-	-	Meyer
11022009	0.017	0.116	14.836	15.704	81	4	0.139	2.207	0.242	-	-	-	-
11122008	1.808	0.584	2.752	8.442	166	13	0.210	1.130	0.055	-	-	-	-
13012009	0.143	0.353	2.320	8.047	98	20	0.258	1.181	0.104	-	-	-	-
14102009	0.127	0.786	0.783	8.594	127	22	0.103	1.373	0.026	-	-	-	Meyer
15042009	0.560	0.263	0.993	8.639	64	14	0.212	1.250	0.105	LN	hetero	T1-19	Meyer
16092009	0.063	0.560	1.675	22.613	69	6	0.361	1.246	0.151	-	-	-	Meyer
18022009	0.623	0.481	1.294	14.946	100	13	0.178	1.626	0.182	LN	homo	T1	-
22042009	0.154	0.497	1.333	9.234	63	7	0.159	1.830	0.177	-	-	-	Meyer
22092009	0.012	0.131	6.823	14.508	86	27	0.131	2.354	0.231	-	-	-	Meyer
22102008a	0.250	0.713	2.832	9.083	103	0	0.237	0.883	0.157	-	-	-	-
22102008b	1.886	0.549	2.641	65.331	122	55	0.126	2.218	0.215	-	-	-	-
26082009a	1.075	0.395	0.296	17.876	108	13	0.084	1.291	0.086	-	-	-	Meyer
26082009b	0.037	0.295	2.166	9.489	121	32	0.146	1.035	0.000	-	-	-	Meyer
27012009a	1.275	0.968	0.833	7.167	161	26	0.188	0.751	0.181	-	-	-	-
27012009b	0.028	0.171	10.400	14.454	142	61	0.100	4.854	0.296	-	-	-	-
30062009a	0.421	0.795	1.154	10.560	99	17	0.095	1.930	0.264	-	-	-	Meyer
30062009b	0.040	0.268	14.502	17.864	61	39	0.071	4.225	0.247	-	-	-	Meyer
30092009	1.324	0.727	2.603	14.726	79	32	0.202	1.571	0.047	-	-	-	-
000307_2	20.026	0.109	0.000	49.705	223	46	0.009	44.131	0.467	PN	I-APT	T1-36	Galizia
000317_a	2.104	0.376	12.729	11.629	212	17	0.385	1.009	0.053	PN	I-APT	T1-35	Galizia
000317_a neg	10.928	0.323	1.176	5.041	284	56	0.297	1.592	0.306	PN	I-APT	T1-35	Galizia
000317_b	2.469	0.803	2.293	8.885	48	17	0.328	0.914	0.147	-	-	-	Galizia
000406_1	3.219	0.302	1.200	8.411	165	16	0.118	2.404	0.208	PN	I-APT	T1-38	Galizia
000406_2	7.375	0.601	0.554	1.530	231	48	0.119	5.599	0.315	-	-	-	Galizia
000414_1	0.646	0.298	0.897	3.857	279	53	0.153	1.097	0.108	LN	hetero	T1-29	Galizia
000418_3	0.422	0.336	7.127	2.621	296	33	0.080	1.254	0.000	LN	hetero	T1-51	Galizia
000426_1	1.293	0.574	0.135	59.965	130	44	0.131	8.900	0.296	LN	hetero	T1-36	Galizia
000504_1	1.115	0.588	0.333	7.485	171	21	0.055	3.545	0.273	LN	hetero	T1-12	Galizia
01092005a	10.685	0.191	0.185	136.613	343	69	0.210	1.408	0.188	-	-	-	Krofczik
01092005b	0.215	0.362	0.626	100.171	352	49	0.145	1.502	0.223	-	-	-	Krofczik
02092005a	0.945	0.102	2.981	84.634	260	16	0.183	1.443	0.214	LN	?	?	Krofczik
02092005b	2.390	0.309	0.877	84.365	257	21	0.194	1.523	0.159	PN	I-APT	T1-33	Krofczik
03052005a	4.012	0.336	1.777	64.066	368	66	0.204	1.211	0.147	-	-	-	Krofczik
03052005b	3.662	0.376	1.711	87.250	342	51	0.294	1.086	0.225	-	-	-	Krofczik
04072006a	0.007	0.215	0.000	129.422	220	0	0.552	0.799	0.469	PN	I-APT	T1-42	Krofczik
05012006a	0.176	0.360	0.976	32.876	228	26	0.206	1.276	0.105	-	-	-	Krofczik
05012006b	0.775	0.352	0.556	12.802	261	36	0.194	1.318	0.197	-	-	-	Krofczik
05052006a	1.349	0.802	0.601	3.709	290	31	0.050	2.684	0.204	LN	?	?	Krofczik
08012004a	1.259	0.284	1.033	92.394	320	60	0.150	1.617	0.140	-	-	-	Krofczik
08122005a	1.334	0.115	0.000	147.690	303	36	0.031	7.650	0.374	PN	m-APT	T2-06	Krofczik
10022005b	6.205	0.054	0.948	86.905	343	19	0.057	2.039	0.271	PN	m-APT	T2-02	Krofczik
10062006a	0.106	0.107	0.000	13.037	288	20	0.291	1.689	0.283	PN	m-APT	T3-45	Krofczik
10062006b	0.227	0.176	0.000	28.021	273	14	0.608	0.805	0.462	PN	m-APT	T3-18	Krofczik
10092004a	3.478	0.520	0.000	12.137	519	27	0.053	3.790	0.261	LN	?	?	Krofczik
10112005a	1.772	0.603	0.138	71.071	305	32	0.273	1.320	0.139	-	-	-	Krofczik
11012005a	5.388	0.741	0.304	90.607	235	35	0.141	1.612	0.130	PN	m-APT	T2-03	Krofczik
11062006a	1.338	0.355	0.000	14.530	542	17	0.261	1.029	0.156	PN	m-APT	?	Krofczik
11062006b	1.785	0.547	2.869	32.724	247	44	0.394	0.805	0.283	-	-	-	Krofczik
11062006c	2.667	0.350	3.860	7.263	346	73	0.254	1.078	0.122	-	-	-	Krofczik
14032006a	2.957	0.071	0.000	28.267	360	29	0.146	1.458	0.211	PN	m-APT	T3-16	Krofczik
14092004a	5.206	0.174	0.000	43.714	393	43	0.137	2.139	0.247	PN	I-APT	T1-39	Krofczik
15062006b	4.512	0.258	0.000	10.806	362	66	0.316	0.840	0.190	PN	m-APT	T3-31	Krofczik
15092004a	0.690	0.115	0.000	64.864	502	41	0.209	1.277	0.155	PN	I-APT	T1-09	Krofczik
16062006b	3.470	0.151	0.667	135.654	277	42	0.243	1.196	0.155	-	-	-	Krofczik
18042005a	1.315	0.875	0.000	52.308	370	92	0.107	2.088	0.215	-	-	-	Krofczik
20102005a	12.843	0.072	0.000	139.517	302	22	0.062	5.300	0.389	-	-	-	Krofczik
25062006a	0.466	0.399	0.329	66.701	309	56	0.286	1.004	0.173	PN	I-APT	T1-22	Krofczik
27062006a	0.611	0.383	2.704	82.978	341	20	0.275	1.058	0.256	-	-	-	Krofczik
27062006b	2.799	0.620	3.536	146.331	381	43	0.200	1.092	0.157	-	-	-	Krofczik
28052006a	4.793	0.236	3.483	6.687	376	23	0.531	0.920	0.116	PN	m-APT	T3-64	Krofczik
28062006a	3.237	0.408	0.651	32.724	351	42	0.264	0.906	0.092	PN	m-APT	T3-09	Krofczik
990924_2	0.600	0.300	0.000	33.051	207	173	0.309	1.038	0.074	-	-	-	Galizia
991103_1	9.558	0.270	6.355	7.171	146	29	0.318	1.196	0.113	-	-	-	Galizia
991109_1	19.686	0.127	0.489	10.399	208	10	0.220	1.541	0.141	-	-	-	Galizia
Anja1	25.026	0.171	1.111	89.310	276	13	0.140	1.464	0.129	PN	m-APT	T3-09	Krofczik
Anja4	0.866	0.441	2.055	44.239	362	61	0.322	1.094	0.299	PN	m-APT	T3-68	Krofczik
Backpack11d	15.693	0.196	0.000	52.329	384	334	0.169	1.167	0.111	PN	m-APT	T3-56	Krofczik

	PN (N=41)	LN (N=39)	<i>P</i>	PN (N=23)	LN (N=9)	<i>P</i>
ΔR (Hz)	60	11	<0.001	43.7	8.6	<0.01
L (ms)	127	63	<0.01	137	76	0.5
CV2	0.3	0.52	<0.001	0.236	0.48	<0.05
FF	2.1	0.56	<0.001	2.96	0.94	0.12
P_{base} (V^2)	0.21	0.16	<0.05	0.261	0.15	0.1